

PATENT**ANTI-MICROBIAL TARGETING CHIMERIC PHARMACEUTICAL****CROSS REFERENCE TO RELATED APPLICATIONS**

- 5           This application is a continuation-in-part of U.S. application serial No. 09/910,358, which is a continuation-in-part of U.S. application serial No. 09/378,577, all of which are incorporated herein by reference.

**FIELD OF THE INVENTION**

- 10           This invention relates generally to the field of anti-microbial treatment, and more specifically to targeted anti-microbial treatment by chimeric constructs.

**BACKGROUND OF THE INVENTION**

- 15           The Centers for Disease Control estimates that half of more than 100 million annual prescriptions of antibiotics are unnecessary. As a result, microbes have, in many cases, adapted and are resistant to antibiotics due to constant exposure and improper use of the drugs. It is estimated that the annual cost of treating drug resistant infections in the United States is approximately \$5 billion. This continued emergence of anti-microbial-resistant bacteria, fungi, yeast and parasites has  
20           encouraged efforts to develop other agents capable of killing pathogenic microbes. Furthermore, there are urgent needs for target-specific anti-microbial agents since many microbial pathogens reside with non-harmful commensal bacteria that are important for optimum health.

- 25           Recent researches have revealed a class of naturally occurring anti-microbial peptides in humans, other mammals, insects and other organisms. A negative aspect of treatment with antibiotics or anti-microbial peptides is their ability to kill or inhibit the growth of a broad spectrum of organisms. The human body is home to tens of thousands of different bacteria, many of which are vital for optimum health. Overuse of antibiotics can seriously disrupt the normal ecology of the body and render humans  
30           more susceptible to bacterial, yeast, viral, and parasitic infection. This effect is also seen with administration of anti-microbial peptides. For example, histatin has been shown to kill not only gram-positive bacteria responsible for dental caries, but also

non-harmful commensal gram-positive bacteria in oral cavity, thus general administration of histatin can actually cause undesirable effect by stimulating the growth of gram-negative bacteria, such as *Actinobacillus sp* or *Fusobacterium sp*, many of which may cause periodontal diseases. Accordingly, histatin is not useful by itself for prevention of dental disease.

Another disadvantage of administration of anti-microbial peptides is their ability to damage host cells at higher concentrations since these positively charged peptides can also penetrate and disrupt eukaryotic cell membranes.

Previous efforts to target delivery of pharmaceutically active agents relied principally on non-specific chemical reactions between a pharmaceutically active agent, and a targeting component. For example Shih et al. United States patent 5,057,313 refers to targeting delivery of drugs, toxins and chelators to specific sites in an organism by loading a therapeutic or diagnostic component onto a polymeric carrier, followed by conjugation of the carrier to a targeting antibody. Hansen, United States patent 5,851,527 claims a similar invention.

A drawback to this approach is that the non-specific linkage of the pharmaceutical reagents to unknown sites on the antibody molecule used for targeting may interfere with delivery of the therapeutic agents. See Rodwell et al., United States patent 4,671,958. Moreover, chemical modification of a targeting antibody by the nonspecific reactions during conjugation may substantively alter the antibody itself, thereby affecting its binding to targets. Chemical linkage is very inefficient, and the result is non-uniform, making the technique very difficult to use in practice.

More recently, there have been a number of reports of the use of recombinant techniques to produce fusion proteins for the treatment of disease. See Penichet and Morrison, J. Immunological Methods, 248:91-101 (2001) for review. Penichet et al. discuss efforts to treat malignant disease using a genetically engineered protein construct including an immunological component that binds specifically to tumor cells and a cytokine capable of eliciting significant antitumor activity. See, e.g. Pastan et al. United States patent 5,981,726, and Fell, Jr. et al., United States patent 5,645,835.

However, to date there have not been any reports of directing anti-microbial agents to affected regions of humans or animals using target-specific molecules.

There is a need in the art to provide methods and compositions useful for treatment of microbial organisms and microbially mediated diseases, especially microbial diseases of mucosal surfaces that are not readily accessible by normal anti-microbial mechanisms provided by the immune systems.

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### SUMMARY OF THE INVENTION

The present invention is based on the discovery that anti-microbial peptides can be specifically targeted to desired target microbial organisms by a targeting moiety connected to the anti-microbial peptides. Accordingly the present invention provides a composition that has an anti-microbial effect on a target microbial organism. The present invention also provides methods of treating a microbial infection, *e.g.*, on mucosal surfaces by using the compositions provided by the present invention.

In one embodiment, the present invention provides a composition useful for treatment of microbial organisms. The composition comprises a targeting moiety and an anti-microbial peptide moiety, wherein the targeting moiety is coupled to the anti-microbial peptide moiety and recognizes a target microbial organism and wherein the composition has an anti-microbial effect on the target microbial organism.

In another embodiment, the composition comprises a targeting moiety and an anti-microbial peptide moiety, wherein the targeting moiety is a peptide, *e.g.*, polypeptide or small peptide and is fused in-frame with the anti-microbial peptide moiety. Such composition can be produced recombinantly using an expression system, *e.g.*, bacterial, yeast, or eukaryotic cell expression system, without having to deal with problems associated with chemical or physical linkages.

In another embodiment, the present invention provides a method of treating a target microbial organism infection. The method comprises administering to a subject in need of such treatment an effective amount of the composition of the present invention.

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### SUMMARY OF THE FIGURES

Figure 1 shows a schematic diagram of the sequential PCR reactions used to assemble the heavy chain portion of the antibody-based fusion protein.

Figure 2 shows the sequences (SEQ ID NOS: 8-14) of the primers used in the sequential PCR reactions in embodiments of the present invention.

Figure 3 shows the nucleotide sequence (SEQ ID NO: 1) encoding the anti-microbial peptide, histatin 5, the linker peptide, and the variable region of the heavy chain derived from the SWLA3 monoclonal antibody together with the amino acid  
5 sequence (SEQ ID NO: 4).

Figure 4 shows the nucleotide sequence (SEQ ID NO: 5) encoding the anti-microbial peptide, dhvar 1, the linker peptide, and the variable region of the heavy chain derived from the SWLA3 monoclonal antibody together with the amino acid  
10 sequence (SEQ ID NO: 7).

Figure 5 shows the schematic diagram of making a minibody-anti-microbial peptide fusion protein.

#### **DESCRIPTION OF THE PREFERRED EMBODIMENTS**

15 The present invention relates in general to the targeted anti-microbial effects using a composition, *e.g.*, a chimeric construct containing a targeting moiety and an anti-microbial peptide moiety. The present invention also provides methods of treating a microbial infection using the compositions provided by the present invention.

20 According to the present invention, a targeting moiety can be any suitable structure that recognizes and binds to a target microbial organism. For example, a targeting moiety can be a polypeptide, peptide, small molecule, ligand, receptor, antibody, protein, or portions thereof that specifically interacts with a target microbial organism, *e.g.*, the cell surface appendages such as flagella and pili, and surface  
25 exposed proteins, lipids and polysaccharides of a target microbial organism.

In one embodiment, the targeting moiety of the present invention is a monoclonal antibody or various forms of a monoclonal antibody that specifically recognize an epitope or antigen of a target microbial organism. Such epitope or antigen usually is species-specific and located on the surface of a target microbial  
30 organism. A monoclonal antibody or various forms thereof in a targeting moiety can direct an anti-microbial peptide moiety to its target site. Furthermore, it may also provide anti-microbial effect in addition to the effect provided by the anti-microbial

peptide moiety since such monoclonal antibody may engage an immune system and elicit an antibody-associated immune response, *e.g.*, humoral immune response.

A monoclonal antibody specific to a microbial organism can be made using any methods readily available to one skilled in the art. For example, as described in  
5 the U.S. Patent No. 6,231,857 (incorporated herein by reference) three monoclonal antibodies, *i.e.*, SWLA1, SWLA2, and SWLA3 have been made against *S. mutans*. Monoclonal antibodies obtained from non-human animals to be used in a targeting moiety can also be humanized by any means available in the art to decrease their immunogenicity and possibly increase their ability to elicit anti-microbial immune  
10 response of a human.

Various forms of a monoclonal antibody include, without limitation, scFv, minibody, Di-miniantibody, Tetra-miniantibody, (scFv)<sub>2</sub>, Diabody, scDiabody, Triabody, Tetrabody, and Tandem diabody. A scFv usually comprises a single chain containing the variable regions of a light chain and a heavy chain. A minibody  
15 usually comprises the variable regions of a light chain and a heavy chain, *e.g.*, scFv joined to a heavy chain constant region, *e.g.*, about 20 amino acids or the third constant domain, C<sub>H</sub>3 domain, either directly or via a linker, *e.g.*, about 10 to 25 amino acids. A minibody can be readily made by expressing its encoding sequence in any suitable cell lines, *e.g.* Sp2/0 cells. A readily prepared version of a minibody  
20 usually forms a disulfide-linked dimer by virtue of the constant region, *e.g.*, C<sub>H</sub>3 domain and a cysteine-containing linker. Various forms of a monoclonal antibodies are described in Little et al., Immunology Today, 21:364-370 (2000), which is incorporated herein by reference.

Alternatively, the targeting moiety of the present invention can include all or a  
25 portion of one or more variable regions that are capable of specifically recognizing or binding to a target microbial organism and optionally a portion of constant regions that is sufficient for dimerization. For example, the variable region of a heavy chain has three complementarity determining regions (CDRs) and are capable of binding to an antigen. One skilled in the art can readily assess the minimum variable regions  
30 required of any particular monoclonal antibody for antigen or epitope binding.

According to another embodiment of the present invention, a targeting moiety can be a peptide identified through screening peptide or small molecule libraries. For

example, a phage display peptide library can be screened against a target microbial organism or a desired antigen or epitope thereof. Any peptides identified through such screening can be used as a targeting moiety for the target microbial organism.

5 The targeting moiety of the present invention can also be a ligand, receptor, or fragment thereof that specifically recognizes a target microbial organism. For example, glucan binding proteins of *Streptococcus mutans* that can specifically bind insoluble glucans on the surface of *S. mutans*.

10 The composition of the present invention can contain one or more targeting moieties capable of targeting the same or different target microbial organisms. In one embodiment, the composition of the present invention contains one or more targeting moieties capable of targeting different sites or structures of the same target microbial organism. Such composition is useful for preventing resistance of a target microbial organism to the composition.

15 According to the present invention, an anti-microbial peptide moiety of the composition of the present invention comprises one or more anti-microbial peptides. In general, any known or later discovered anti-microbial peptides can be used for the compositions of the present invention. Anti-microbial peptides are various classes of peptides, *e.g.*, peptides originally isolated from plants as well as animals. In animals, anti-microbial peptides are usually expressed by various cells including neutrophils and epithelial cells. In mammals including human, anti-microbial peptides are usually  
20 found on the surface of the tongue, trachea, and upper intestine.

Naturally occurring anti-microbial peptides are generally amphipathic molecules that contain fewer than 100 amino acids. Many of these peptides generally have a net positive charge (*i.e.*, cationic) and most form helical structures. It is  
25 generally believed that these peptides' anti-microbial efficacy is in their ability to penetrate and disrupt the microbial membranes, thereby killing the microbe or inhibiting its growth.

The anti-microbial activities of the anti-microbial peptides of the present invention include, without limitation, antibacterial, antiviral, or antifungal activities.  
30 For example, one well-known class of anti-microbial peptides is the tachyplesins which are described as having antifungal and antibacterial activities. Andropin, apidaecin, bactencin, clavadin, dodecapeptide, defensin, and indolicidin are anti-

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microbial peptides having antibacterial activities. Buforin, nisin and cecropin peptides have been demonstrated to have anti-microbial effects on *Escherichia. coli*, *Shigella disenteriae*, *Salmonella typhimurium*, *Streptococcus pneumoniae*, *Staphylococcus aureus*, and *Pseudomonas aeruginosa*. Magainin and ranalexin  
5 peptides have been demonstrated to have anti-microbial effects on the same organisms, and in addition have such effects on *Candida albicans*, *Cryptococcus neoformans*, *Candida krusei*, and *Helicobacter pylori*. Magainin has also been demonstrated to have anti-microbial effects on *herpes simplex virus*. Alexomycin peptides have been demonstrated to have anti-microbial effects on *Camphylobacter*  
10 *jejuni*, *Moraxella catarrhalis* and *Haemophilus influenzae* while  $\alpha$  defensin and  $\beta$  pleated sheet defensin peptides have been shown to have anti-microbial effects on *Streptococcus pneumoneae*.

Histatin peptides and the derivatives thereof are another class of anti-microbial peptides, which have antifungal and antibacterial activities against a variety of  
15 organisms including *Streptococcus mutans*. MacKay, B.J. et al., Infect. Immun. 44:695-701 (1984); Xu, et al., J. Dent. Res. 69:239 (1990).

In one embodiment, the anti-microbial peptide moiety of the present invention contains one or more anti-microbial peptides from a class of histatin peptides and the derivatives thereof. For example, the anti-microbial peptide moiety of the present  
20 invention contains one or more derivatives of histatin including, without limitation, histatin 5 having an amino acid sequence as shown in SEQ ID NO. 2 or dhvar 1 having an amino acid sequence as shown in SEQ ID NO. 6.

In another embodiment, the anti-microbial peptide moiety of the present invention contains one or more anti-microbial peptides from a class of protegrins and the derivatives thereof. For example, the anti-microbial peptide moiety of the present  
25 invention contains protegrin PG-1 having an amino acid sequence RGGRLCYCRRRFCVCVGR as shown in SEQ ID NO. 15. The protegrin peptides have been shown to have anti-microbial effects on *Streptococcus mutans*, *Neisseria gonorrhoeae*, *Chlamydia trachomatis* and *Haempohilus influenzae*. Protegrin  
30 peptides are described in the U.S. Patent No. 5693486, 5708145, 5804558, 5994306, and 6159936, all of which are incorporated herein by reference.

In yet another embodiment, the anti-microbial peptide moiety of the present

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invention contains one or more anti-microbial peptides from a class of novispirin and the derivatives thereof as described in Sawai et al., "Impact of Single –Residue Mutations on the Structure and Function of Ovispirin/Novispirin Antimicrobial Peptides." *Protein Engineering* (in press). For example, the anti-microbial peptide moiety of the present invention contains novispirin G10 having an amino acid sequence KNLRRRIIRKGIHIIKKYG as shown in SEQ ID NO. 17 for treating cariogenic organisms, *e.g.*, *Streptococcus mutans*.

In still another embodiment, the anti-microbial peptide moiety contains one or more anti-microbial peptides including, without limitation, alexomycin, andropin, apidaecin, bacteriocin,  $\beta$ -pleated sheet bacteriocin, bactenecin, buforin, cathelicidin,  $\alpha$ -helical clavanin, cecropin, dodecapeptide, defensin,  $\beta$ -defensin,  $\alpha$ -defensin, gaegurin, histatin, indolicidin, magainin, nisin, protegrin, ranalexin, tachyplesin, and derivatives thereof.

The anti-microbial peptide moiety of the present invention can include one or more anti-microbial peptides, which can be the same or different anti-microbial peptides. The anti-microbial peptides of the present invention can also be modified, *e.g.*, to enhance its anti-microbial effectiveness, its cell delivery, its compatibility with the rest of the composition structure, or the manipulation of the composition in production.

The targeting moiety and the anti-microbial peptide moiety of the present invention can be coupled by various means known to one skilled in the art. For example, the targeting moiety and the anti-microbial peptide moiety can be covalently coupled or connected by a peptide linker and the composition so formed can be constructed through molecular cloning and overexpressed or purified as one polypeptide unit in a bacterial, yeast, or eukaryotic cell expression system. Any peptide linker can be used to connect the targeting moiety and the anti-microbial peptide moiety of the present invention. In one embodiment, the peptide linker does not interfere or inhibiting the activity of the targeting moiety or the anti-microbial peptide moiety. In another embodiment, the peptide linker is from about 10 to 60 amino acids, from about 15 to 25 amino acids, or about 15 amino acids.

An anti-microbial peptide can be connected to a targeting moiety at either or both ends of the targeting moiety. In one embodiment, a targeting moiety is a peptide



or polypeptide which can be fused in frame at N-terminal, C-terminal, or both ends with one or more anti-microbial peptides.

The composition of the present invention can be made by any suitable means known to one skilled in the art. For example, a nucleotide sequence encoding a  
5 targeting moiety ligated to a nucleotide sequence encoding an anti-microbial peptide moiety, either directly or via a nucleotide sequence encoding a peptide linker, can be expressed in an appropriate expression system, *e.g.*, a commercially available bacterial, yeast, or eukaryotic cell expression system. Usually for expressing in a bacterial expression system, an autocatalytic protein, *e.g.*, intein and a chitin-binding  
10 domain (CBD) are used for purification purpose. For expressing in a yeast expression system, a pheromon factor  $\alpha$  is usually fused to the N-terminal of a coding sequence while a myocin-his tag is fused to the C-terminal of the coding sequence for easy handling of the expressed product during the purification process.

In one embodiment of the present invention, a commercially available yeast  
15 expression system is modified, *e.g.*, proteins used for bacterial expression systems are used for yeast expression. For example, a sequence encoding the composition of the present invention is fused with a sequence encoding pheromon factor  $\alpha$  and a sequence encoding intein and CBD and is expressed in a yeast expression system.

The compositions of the present invention can be used to treat any target  
20 microbial organisms. For example, the target microbial organism of the present invention can be any bacteria, rickettsia, fungi, yeasts, protozoa, or parasites. In one embodiment, the target microbial organism is a cariogenic organism, *e.g.*, *Streptococcus mutans*.

In another embodiment, the target microbial organisms of the present  
25 invention include, without limitation, *Escherichia. coli*, *Camphylobacter jejuni*, *Candida albicans*, *Candida krusei*, *Chlamydia trachomatis*, *Clostridium difficile*, *Cryptococcus neoformans*, *Haempophilus influenzae*, *Helicobacter pylor*, *Moraxella catarrhalis*, *Neisseria gonorrhoeae*, *Pseudomonas aeruginosa*, *Salmonella typhimurium*, *Shigella dysenteriae*, *Staphylococcus aureus*, and *Streptococcus*  
30 *pneumoniae*.

According to another feature of the present invention, the compositions of the present invention provide anti-microbial effect to target microbial organisms and can

be used to treat a target microbial organism infection. An anti-microbial effect includes inhibiting the growth or killing of the target microbial organisms, or interfering with any biological functions of the target microbial organisms.

5 In general, the compositions of the present invention can be used to treat a target microbial organism infection at any place in a host, *e.g.*, at any tissue. In one embodiment, the compositions of the present invention are used to treat a target microbial organism infection on a mucosal surface. A mucosal surface usually harbors a broad spectrum of microbial organisms and prefers a treatment that is least disturbing to the balance of the entire microbial organism population, *e.g.*, specific to  
10 pathogenic microbial organisms and has minimum effect on the non-pathogenic microbial population. For example, in human mouth there usually exist many different microbes including yeasts and bacteria. A lot of bacteria are non-harmful commensal bacteria that are essential for maintaining a healthy and normal microbial flora to prevent the invasion and establishment of other pathogenic microbial  
15 organisms, *e.g.*, yeast infection. Administering the composition of the present invention targets specifically to cariogenic organisms, *e.g. Streptococcus mutans* and will have minimum effect on non-targeted microbial organisms, thus will not have an undesirable effect by non-targeted microbial organisms.

A lot of places in an animal or human body have mucosal surfaces and can be  
20 treated with the compositions of the present invention to provide targeted anti-microbial effect. For example, mouth, vagina, gastrointestinal (GI) tract, esophageal tract, and respiratory tract, all of which can have microbial organism infection on its mucosal surfaces.

In particular, *S. mutans* infection is commonly found in mouth and causes  
25 dental caries. *Porphyromonas gingivalis*, various *Actinomyces* species, *Veillonella*, spirochetes, and gram-negative flora including black-pigmented bacteroides are commonly associated with infections of gingival and surrounding connective tissues, which cause periodontal diseases. *Streptococcus pneumoniae*, nontypeable *Haemophilus influenza*, or *Moraxella cararrhalis* infection is commonly found in  
30 acute otitis media (AOM) and otitis media effusion (OME) as complications of upper respiratory infections in young children.

*Helicobacter pylori* (*H. pylori*) bacteria are found in the gastric mucous layer

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or adherent to the epithelial lining of the stomach, and cause more than 90% of duodenal ulcers and up to 80% of gastric ulcers. Other GI tract infections include, without limitation, campylobacter bacterial infection, primarily *Campylobacter jejuni* associated with diarrhea, cholera caused by *Vibrio cholerae* serogroups, salmonellosis caused by bacteria salmonella such as *S. Typhimurium* and *S. Enteritidis*, shigellosis caused by bacteria Shigella, e.g., *Shigella dysenteriae* and traveler's diarrhea caused by enterotoxigenic *Escherichia coli* (ETEC). *Clostridium difficile* infection is also commonly found in gastrointestinal tract or esophageal tract.

Yeast or Candida infections (Candidiasis) typically occur either orally (Oropharyngeal Candida or OPC) or vaginally (Vulvovaginal Candida or VVC). Candidiasis is caused by a shift in the local environment that allows Candida strains (most commonly *Candida albicans*) already present on skin and on mucosal surfaces such as mouth and vagina to multiply unchecked. Gonorrhea, chlamydia, syphilis, and trichomoniasis are infections in the reproductive tract, which cause sexually transmitted diseases, e.g., pelvic inflammatory disease.

The compositions of the present invention can be administered to various mucosal surfaces, e.g., the mucosal surfaces described above, with each composition containing a targeting moiety corresponding to one or more specific microbial organisms of the infection, e.g., the microbial organisms described above.

The compositions of the present invention useful for treating target microbial organism infection can be administered alone, in a composition with a suitable pharmaceutical carrier, or in combination with other therapeutic agents. An effective amount of the compositions to be administered can be determined on a case-by-case basis. Usually the dosage required is lower than the dosage required for an anti-microbial peptide administered without being linked to a targeting moiety, e.g.,  $10^{-1}$  lower. Factors to be considered usually include age, body weight, stage of the condition, other disease conditions, duration of the treatment, and the response to the initial treatment.

Typically, the compositions are prepared as a topical or an injectable, either as a liquid solution or suspension. However, solid forms suitable for solution in, or suspension in, liquid vehicles prior to injection can also be prepared. The composition can also be formulated into an enteric-coated tablet or gel capsule

according to known methods in the art.

The compositions of the present invention may be administered in any way which is medically acceptable which may depend on the disease condition or injury being treated. Possible administration routes include injections, by parenteral routes  
5 such as intravascular, intravenous, intraepidural or others, as well as oral, nasal, ophthalmic, rectal, topical, or pulmonary, *e.g.*, by inhalation. The compositions may also be directly applied to tissue surfaces. Sustained release, pH dependent release, or other specific chemical or environmental condition mediated release administration is also specifically included in the invention, by such means as depot injections or  
10 erodible implants.

In one embodiment, the compositions of the present invention are used to treat or prevent cariogenic organism infections, *e.g.*, *S. mutans* infection associated with dental caries and are prepared as additives to food or any products having direct contact to an oral environment, especially an oral environment susceptible to dental  
15 caries. For example, to treat or prevent dental caries one or more compositions of the present invention can be formulated into a baby formula, mouthwash, lozenges, gel, varnish, toothpaste, toothpicks, tooth brushes, or other tooth cleansing devices, localized delivery devices such as sustained release polymers or microcapsules, oral irrigation solutions of any kind whether mechanically delivered or as oral rinses,  
20 pacifiers, and any food including, without limitation, chewing gums, candies, drinks, breads, cookies, and milk.

### EXAMPLES

The following examples are intended to illustrate but not to limit the invention  
25 in any manner, shape, or form, either explicitly or implicitly. While they are typical of those that might be used, other procedures, methodologies, or techniques known to those skilled in the art may alternatively be used.

#### **Example 1. Construction and Expression of a Histatin 5 and Dhvar 1/SWLA3 30 Chimeric Antibody Fusion Protein With Activity Against *S. mutans*.**

##### **a. Construction of an expression vector for an antibody-based fusion protein**

The construct that is ultimately cloned into an IgG<sub>1</sub> expression vector and

leads to the expression of the targeted anti-microbial fusion protein was assembled according to the following method (see FIG. 1). The construct was assembled using sequential PCR and restriction enzymes techniques. The recognition sequence of the of the fusion protein was derived from heavy chain sequences of SWLA3, produced  
 5 by hybridoma ATCC HB 12558. *See* Shi, United States patent 6,231,857, the disclosure of which is incorporated herein by reference, and United States patent application serial numbers 09/378,577 and 09/881,823. Sequences encoding for histatin 5 or dhvar1 were inserted upstream of the variable region of the heavy chain of SWLA3. The amino acid sequences used for histatin 5 and dhvar 1 are listed  
 10 below:

Histatin 5 (SEQ ID NO: 2) DSHAKRHHGY KRKFHEKHHS HRGY

Dhvar 1 (SEQ ID NO: 6) KRLFKELKFS LRKY.

The source signal peptide was added upstream of the histatin 5 or dhvar1, and a glycine/serine linker (SEQ ID NO: 3) was added to separate the fusion protein from  
 15 the variable region of the heavy chain (V<sub>H</sub>) of the antibody. See FIG. 3 for the nucleic acid and encoded amino acid sequence for the histatin 5/SWLA3 V<sub>H</sub> and FIG. 4 for the respective dhvar 1/SWLA3 V<sub>H</sub> sequences. Sequential PCR reactions were used to complete the construct according to the following method (see FIG. 2 for the nucleic acid sequence of the primers used):

- 20 1. In the first PCR reaction a plasmid carrying the V<sub>H</sub> of SWLA3 was used as the template with primer sets 986+452 (histatin 5) or 989+452 (dhvar1). This reaction replaced the signal peptide in the original gene with the linker peptide at the 5' end of the V<sub>H</sub> and inserted a restriction site at the 3' end. The products of this reaction were isolated and used as a template  
 25 in the second PCR reaction.
2. Using primer sets 987+452 (histatin 5) or 990+452 (dhvar1) in the second PCR reaction added the anti-microbial peptide upstream from the linker peptide. The restriction site at the 3' end was maintained. The products from this reaction were isolated and used as the template in the third PCR  
 30 reaction.

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3. With primer sets 988+452 (histatin 5) or 991+452 (dhvar1) a signal peptide and restriction site were added upstream from the anti-microbial peptide. The restriction site at the 3' end was maintained. Products from the third PCR were isolated.
- 5 4. Isolated products from the third PCR reaction were then cloned into Invitrogen's PCR2.1 vector via TOPO Cloning Kit and sequenced.
5. After the sequences of the two clones were confirmed, the inserts were moved into the IgG<sub>1</sub> PCR expression vector (pAH 4604) as an NheI/EcoRV fragment.
- 10 6. The final expression vectors for the histatin 5 and dhvar 1 antibody fusion proteins were named pAH 5993 and pAH 5994 respectively.

PCR conditions used were:

1. Denature @ 94°C for 40 sec.
- 15 2. Anneal @ 60°C for 40 sec.
3. Extend @ 72°C for 40 sec.
4. Amplify for 30 cycles
5. Final Extension at 72°C for 10 min.

20 FIG. 3 shows the nucleic acid sequence encoding the histatin 5 fusion to V<sub>H</sub> SWLA3 and encoded amino acid sequence (SEQ ID NOS: 1 and 4) and FIG. 4 which shows the nucleic acid sequence encoding the dhvar1 fusion to V<sub>H</sub> SWLA3 and encoded amino acid sequence (SEQ ID NOS: 5 and 7). In the figures, the bold sequences represent the corresponding anti-microbial peptides, the underlined  
25 sequences represent the glycine/serine linker, and the single bolded underlined base in each sequence represents a silent point mutation. In the original sequence disclosed in Shi et al. United States patent application serial number 09/881,823, the base is guanine.

30 The variable region of the light chain (V<sub>L</sub>) from SWLA3 was cloned into a human kappa expression vector named 5940 pAG according to the method described in Shi et al. United States patent application serial number 09/881,823. Briefly,

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- 5 (i) DNA was prepared from the expression vectors and from the plasmid containing the correct  $V_L$ . See Current Protocols in Immunology, Section 2.12.1 (1994) for detailed information about the vectors that express the light and heavy chain constant regions.
- (ii) The expression vector was digested with the appropriate restriction enzyme. The digests were then electrophoresed on an agarose gel to isolate the appropriate sized fragment.
- 10 (iii) The plasmid containing the cloned  $V_L$  region was also digested and the appropriate DNA fragment containing the  $V_L$  region was isolated from an agarose gel.
- (iv) The  $V_L$  region and expression vector were then mixed together, T4 DNA ligase was added and the reaction mixture was incubated at 16°C over night.
- 15 (v) Competent cells were transfected with the  $V_L$  ligation mixture and the clones expressing the correct ligation sequence were selected. Restriction mapping was used to confirm the correct structure.

### b. Transfecting Eukaryotic Cells

- 20 Ten micrograms of DNA from each expression vector, pAH 5993 (histatin 5) or pAH 5994 (dhvar 1) and 5940 pAG, was linearized by BSPC1 (Stratagene, PvuI isoschizomer) digestion and  $1 \times 10^7$  myeloma cells (SP2/0 or P3X63.Ag8.653) were cotransfected by electroporation. Prior to transfection the cells were washed with cold PBS, then resuspended in 0.9 ml of the same cold buffer and placed in a 0.4 cm
- 25 electrode gap electroporation cuvette. 960 microF and 200V was used for electroporation. The shocked cells were then incubated on ice in IMDM medium (Gibco, NY) with 10% calf serum.

The transfected cells were plated into 96 well plates at a concentration of 10000 cells/well. Selective medium including selective drugs such as histidinol or mycophenolic acid were used to select the cells which contain expression vectors. After 12 days, the supernatants from growing clones were tested for antibody production.

c. Analyses of histatin-5 and dhvar 1/SWLA3 chimeric antibody fusion proteins

ELISA assay was used to identify transfectomas that secrete the fusion IgG antibodies. 100 µl of 5 µg/ml goat anti-human IgG was added to each well of a 96-well ELISA plate and incubated overnight. The plate was washed several times with PBS and blocked with 3% BSA. Supernatants from above growing clones were added to the plate for 2 hours at room temperature to assay for their reactivity with goat anti-human Ig antibody. Plates were then washed and anti-human kappa antibody labeled with alkaline phosphatase diluted 1:10<sup>4</sup> in 1% BSA was added for 1 hour at 37° C. Plates were washed with PBS and para-nitrophenyl phosphate in diethanolamine buffer (9.6% diethanolamine, 0.24 mM MgCl<sub>2</sub>, pH 9.8) was added. Color development at OD<sub>405</sub> was indicative of cells producing H<sub>2</sub>L<sub>2</sub>.

For the supernatants that produce IgG constant regions, their reactivity with *S. mutans* was tested as described in Shi et al., Hybridoma 17:365-371 (1998). Briefly, bacteria strains listed in Table 1 were grown in various media suggested by the American Type Culture Collection. The anaerobic bacteria were grown in an atmosphere of 80% N<sub>2</sub>, 10% CO<sub>2</sub>, and 10% H<sub>2</sub> at 37° C. The specificity of antibodies to various oral bacteria was assayed with ELISA assays. Bacteria were diluted in PBS to OD<sub>600</sub>=0.5, and added to duplicate wells (100 µl) in 96 well PVC ELISA plates preincubated for 4 h with 100 µl of 0.02 mg/ml Poly-L-lysine. These antigen-coated plates were incubated overnight at 4° C in a moist box then washed 3 times with PBS and blocked with 0.5% fetal calf serum in PBS and stored at 4° C. 100 µl of chimeric antibodies at 50 µg/ml were added to the appropriate wells of the antigen plates, incubated for 1 h at RT, washed 3 times with PBS-0.05% Tween 20, and bound antibody detected by the addition of polyvalent goat-anti-human IgG antibody conjugated with alkaline phosphatase diluted 1:10<sup>3</sup> with PBS-1% fetal calf serum.



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After the addition of the substrate, 1 mg/ml p-nitrophenyl phosphate in carbonate buffer (15 mM Na<sub>2</sub>CO<sub>3</sub>, 35 mM NaH<sub>2</sub>CO<sub>3</sub>, 10 mM MgCl<sub>2</sub> pH 9.6), the color development after 15 min was measured in a EIA reader at 405 nm. “+” means OD<sub>405</sub>>1.0; “-” means OD<sub>405</sub><0.05. The negative control is <0.05. The results are given in Table 1.

**TABLE 1**

<u>Reactivity of Antibody Fusion Proteins to Various Oral Bacterial Strains</u>			
Oral Bacteria	Strains	Hitstatin 5/SWLA3 Fusion Antibodies	Dhvar 1/SWLA3 Fusion Antibodies
<i>S. mutans</i>	AATCC25175	+	+
	LM7	+	+
	OMZ175	+	+
<i>S. Mitis</i>	ATCC49456	-	-
<i>S. rattus</i>	ATCC19645	-	-
<i>S. sanguis</i>	ATCC49295	-	-
<i>S. sobrinus</i>	ATCC6715-B	-	-
<i>S. sobrinus</i>	ATCC33478	-	-
<i>L. acidophilus</i>	ATCC4356	-	-
<i>L. casei</i>	ATCC11578	-	-
<i>L. plantarum</i>	ATCC14917	-	-
<i>L. salivarius</i>	ATCC11742	-	-
<i>A. actinomycetemcomitans</i>	ATCC33384	-	-
<i>A. naeslundii</i>	ATCC12104	-	-
<i>A. viscosus</i>	ATCC19246	-	-
<i>Fusobacterium nucleatum</i>	ATCC25586	-	-
<i>Porphyromonas gingivalis</i>	ATCC33277	-	-

The fusion proteins showed both specificity and anti-microbial efficacy against *S. mutans*. Like the monoclonal antibodies from which they are derived, the fusion proteins bind specifically to *S. mutans*. (See Table 1). They also have anti-bacterial efficacy against the bacteria, but are effective at a much lower concentration than histatin 5 alone. (See Table 2).

Table 2. Recombinant Histatin5/SWLA3 fusion antibodies  
targets *S. mutans* with a great sensitivity and specificity  
Minimal Inhibitory Concentrations

5		<i>S. mutans</i>	<i>S. sanguis</i>	<i>Host cells</i>
	Histatin5	~10 $\mu$ M	~10 $\mu$ m	>50 $\mu$ M
10	<b>Histatin 5/SWLA3 fusion antibodies</b>	~0.3 $\mu$ M	~30 $\mu$ M	>50 $\mu$ M

This observation suggests that the recognition sequence is responsible for specific binding between the fusion protein and *S. mutans*, which locally enhances the concentration of histatin 5 at the bacterial cell surface. At the concentration at which the fusion protein showed antibacterial efficacy, the fusion proteins showed no inhibitory effect on other bacteria or host cells (Table 2). Accordingly, these results suggest that the basic design described herein may be useful for generating antibody-based fusion proteins for treatment of other infections and infestations.

## Example 2. Construction And Analyses of A Chimeric Construct Containing Minibody And Anti-microbial Peptides

### a. Construction of a minibody-peptide fusion protein

A minibody is a modified antibody molecular that comprises  $V_L$ - $V_H$ -linker-Ch(1, 2, or 3) covalently linked in a head-to-tail fashion (see Figure 5). To construct a minibody-anti-microbial peptide fusion protein, the anti-microbial peptide will be linked to the N-terminus of  $V_L$  via a poly glycine-serine linker peptide. The C-terminus of  $V_L$  will then be fused with the N-terminus of  $V_H$ , which then will be fused to a subdomain of the constant region (Ch) via another peptide linker. Inclusion of the subdomain from the constant region will ensure the efficient dimerization of the minibody in solution, and stabilize the effective conformation of the minibody. PCR and other DNA manipulation techniques will be used to piece together the DNA fragments encoding the anti-microbial peptide, the linkers, and the different domains of the minibody. Briefly, genes encoding the anti-microbial peptide, the linker, the different domains of the minibody will be synthesized by PCR using primers specific to the coding regions of the corresponding peptide or domain. Restriction enzyme

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cleavage site will be incorporated in the primers. After PCR, the DNA fragments will be digested with the appropriate restriction enzymes and ligated with T4 DNA ligase. Correct orientation of the DNA fragments will be ensured by incorporating different restriction sites at the different termini. The entire construct will be cloned into an appropriate expression vector and expressed in an appropriate host.

b. Construction of an anti *S. mutans* minibody-protegrin fusion protein

The starting material for constructing the minibody will be the anti *S. mutans* monoclonal antibody, SWLA3, as described in the United States Patent No. 6, 231,857. The anti-microbial peptide will be protegrin as described in the U.S. Patent Nos. 5693486, 5708145, 5804558, 5994306, and 6159936 and Zhao et al., FEBS lett, 1994, 346 (2-3): 285-8.

**Synthesis of the protegrin gene fragment.** The coding region of the protegrin will be synthesized as a DNA fragment with the following sequence: 5'- AGG GGA GGT CGC CTG TGC TAT TGT AGG CGT AGG TTC TGC GTC TGT GTC GGA CGA GGA-3' (SEQ ID NO. 16). The fragment will be amplified by PCR using two primers:

*Primer 1* (forward primer): 5'- GGT GGT TGC TCT TCC AAC AGG GGA GGT CGC CTG TGC-3' (SEQ ID NO. 18); the underlined sequence is a Sap I restriction enzyme cleavage site.

*Primer 2* (reverse primer): 5'-CCG GAT CCT CGT CCG ACA CAG AC-3' (SEQ ID NO. 19); the underlined sequence is the Bam HI restriction site.

**Amplification of the poly Ser-Gly linker region.** The DNA encoding the poly-Ser-Gly linker will be amplified by PCR from the SWLA3-histatin construct using the following primers:

*Primer 3* (forward): 5'-GG GGA TCC GGT GGC GGT GGC TCG-3' (SEQ ID NO. 20); the underlined sequence is a Bam HI restriction site.

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*Primer 4* (reverse): 5'-AAC ATC GAT AGA TCC GCC GCC ACC CG-3' (SEQ ID NO. 21); the underlined sequence is the Cla I restriction site.

**Generation of the DNA fragment encoding the V<sub>L</sub> region of SWLA3.** The DNA  
5 fragment encoding the V<sub>L</sub> region will be amplified by PCR using the following  
primers with the anti *S. mutans* monoclonal antibody SWLA3:

*Primer 5* (forward): 5'-GG ATC GAT GTT GTG ATG ACC CAG-3' (SEQ ID NO. 22); the underlined sequence is the Cla I restriction site.

10 *Primer 6* (reverse): GCGG GTC GAC CGA CTT ACG TTT CAG CTC CAG-  
3' (SEQ ID NO. 23); the underlined sequence is the Sal I restriction site.

**Generation of the DNA fragment encoding the V<sub>H</sub> region of SWLA3.** The gene  
encoding the V<sub>H</sub> region will be amplified by PCR using the following primers with  
15 the anti *S. mutans* monoclonal antibody SWLA3:

*Primer 7* (forward): 5'-GCGG GTC GAC GTG AAG CTG GTG GAG TCT  
G-3' (SEQ ID NO. 24); the underlined sequence is the Sal I restriction site.

*Primer 8* (reverse): 5'-GGG TGT TGA GCT AGC TGA AGA GAC GGT  
20 GAC-3' (SEQ ID NO. 25); the underlined sequence is the Nhe I restriction site.

**Synthesis of the linker between V<sub>H</sub> and C<sub>H3</sub>.** The amino acid sequence of the linker  
will be LDPKSCERSHSCPPCGGGSGGGTS (SEQ ID NO. 26). The corresponding  
DNA sequence will be: 5'-CTC GAC CCA AAG AGC TGC GAG CGG AGC CAC  
25 AGC TGC CCA CCG TGC GGG GGT GGG TCC GGC GGT GGC ACT AGT-3'  
(SEQ ID NO. 27). This sequence will be chemically synthesized and amplified by  
PCR using the following primers:

*Primer 9* (forward): 5'-GTGG GCT AGC CTC GAC CCA AAG AGC TGC-3'  
30 (SEQ ID NO. 28); the underlined sequence is the Nhe I site.

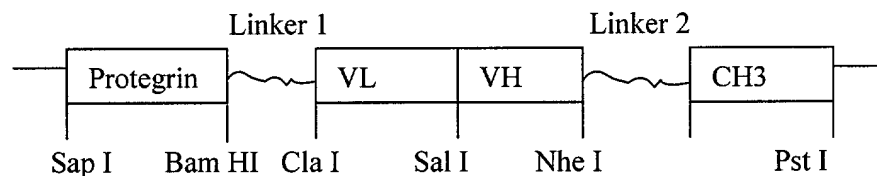
*Primer 10* (reverse): 5'-AGG TTC TCG GGG CTG CCC ACT AGT GCC  
ACC GCC GGA CC-3' (SEQ ID NO. 29).

**Synthesis of the human C<sub>H</sub>3 fragment.** The vector containing the humanized SWLA3 monoclonal antibody sequence will be used as the template for generating the human C<sub>H</sub>3 gene fragment by PCR. The following primers will be used in the PCR reaction.

*Primer 11* (forward): 5'-GGG CAG CCC CGA GAA CAA C-3' (SEQ ID NO. 30)

*Primer 12* (reverse): 5'-GGT GGT CTG CAG TTT ACC CGG GGA CAG GGA GAG-3' (SEQ ID NO. 31); the underlined sequence is a Pst I restriction site.

**Assembling of the fragments to generate a peptide-minibody fusion protein gene.** The DNA fragments encoding the protegrin, VL, VH, CH3, and the linkers will be assembled as diagramed below:



The fragment will be digested with Sap I and Pst I, and cloned into pTYB11 at the same restriction sites.

### Example 3. Construction of Chimeric Construct Containing Surface-Binding Peptide And Anti-microbial Peptide

In addition to antibodies, some small peptide can also bind to surface structures of microorganisms or eukaryotic cells. These peptides, which we term "docking moiety", allow more flexibility for the antimicrobial peptides (the killing moiety) to insert into the cell membrane for killing. These peptide are entirely man-made by combinatorial chemistry. Phage-display libraries of 8-12 amino acids peptide are commercially available. In this experiment, we have screened these libraries for peptides capable of specifically binding to a target organism, which can be bacteria, yeast, or other fungi. One or more of these peptides will then be fused to the anti-microbial peptide via a peptide linker, and expressed in an appropriate host.

**Screening of phage display library for specific peptide binding to *S. mutans*, and**

***C. albicans*.** A 12- amino acid peptide library (Ph.D-12) can be purchased from New

England Biolabs. *S. mutans* will be grown anaerobically in TH medium at 37°C

overnight. Cells will be spun down and washed with PBS buffer. 10<sup>8</sup> *S. mutans* cells

5 will be mixed with 10<sup>10</sup> CFU from the phage display library and incubated at room

temperature for 10 min with gentle shaking. The mixture will be spun down in a

microcentrifuge and the supernatant, which contains the unbound phage, will be

transferred to a new tube and mixed with 10<sup>8</sup> yeast cells for another round of binding

(in this case, we recycle the phage particles that do not bind to *S. mutans*, and select

10 for those that bind to yeast. The same process can go on for as many bacterial target

as we desire). After binding, the bacterial or yeast cells will be washed 10 times with

PBS and the bound phage will be eluted with 0.2 M glycine plus 1 mg/ml BSA (pH.

2.2). The eluent will be neutralized with 1/6 vol of 1 M Tris.-HCl, pH 9.1, and

amplified in an *E. coli* host strain for 4.5 h. The phage will be isolated by PEG

15 precipitation, and used for the second round of binding as described for the first

round. The entire process can be repeated 3 to 4 times to concentrate for phages

carrying the peptides with the highest binding affinity for a bacterial or yeast cell.

DNA sequence encoding these peptides can be obtained by sequencing the DNA

contained in these specific phage particles. The DNA fragment will then be fused

20 with the gene encoding the antimicrobial peptide by PCR manipulations, and cloned

into an appropriate expression vector.

**Example 4. Construction of an expression system for production of minibody  
in yeast**

25 A yeast protein expression system is commercially available. In such system,

an amino acid sequence encoding the protein of interest is fused to the pheromon

factor  $\alpha$  at the N-terminus and the myocin-his tag at the C-terminus. Such fusion

protein is expressed, secreted outside of the cell and processed at the  $\alpha$  factor cleavage

site. The resulting protein is then purified by nickel column, which binds to the his-

30 tag. The problem with this system is that the fusion protein of interest will have an

added myocin-his tail at its C-terminus in the final product. If the protein is a

minibody, this added tail could cause a problem in its mammalian application.

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A bacterial system that allows fusion protein to be excised at the exact N- or C-terminus is also commercially available. This system uses an autocatalytic protein, intein, and a chitin-binding domain for purification. While this system may be ideal for producing the anti-microbial peptide alone, it lacks the proper modification  
5 required for minibody production.

We will combine the two systems to generate a new minibody-peptide fusion protein production system in yeast that will allow exact processing of the fusion protein and proper modification of the minibody moiety. Briefly, the DNA fragment encoding the intein-CBD fusion will be PCR amplified from the bacterial vector and  
10 cloned into the yeast vector downstream of the  $\alpha$ -factor processing site. The DNA fragment encoding the minibody-peptide fusion will be fused with the intein domain and expressed as an intein-CBD-minibody fusion. This fusion complex will be secreted to the outside of the cell via the  $\alpha$ -factor signal peptide, and purified from the culture supernatant by chitin affinity column. The minibody-peptide fusion protein  
15 will then be separated from the intein by automatic cleavage under reducing conditions.

Although the invention has been described with reference to the presently preferred embodiment, it should be understood that various modifications can be made without departing from the spirit of the invention. Accordingly, the invention  
20 is limited only by the following claims.